

# Wealth Management Advisory Office Fees

Banking products				
Account administration / Service Charge	Volume in CHF	Fee (CHF)		
Opening / Closure of Account		No extra charge		
Account maintenance fee (applies for each cash account) for individuals		36 p.a., charged at year end		
Account maintenance fee (applies only to master account) for domiciliary companies only		720 p.a.		
Retained mail fee (retained in Switzerland)		600 p.a., charged quarterly		
Custody/Metal accounts fee:*	Up to 10 million	0.30% (charged quarterly)		
	From 10 million	0.25% (charged quarterly)		
	From 25 million	0.20% (charged quarterly)		
Debit interest is charged for overdrafts on current accounts or for fixed term loans if applicable.				
*On the total value of assets held outside Switzerland or with clearing organizations a surcharge of 0.10% p.a. is levied.				
Payments / Securities Transfers	Type of transfer	Fee (CHF)		
Inward remittance (incoming transfers from 3rd party banks)		Free of charge		
Transfer charges	Within master account*	Free of charge		
	Other transfers	40-45 per transfer, charged at month end		
Securities charges	Within master account	Free of charge		
	Within UBS Switzerland	Free of charge		
	Other transfers	100 per position		
Active Advisory				
Active Portfolio Advisory (All-in Fees include investment advice, custody services, securities trading, money market and fiduciary transactions, primary market purchases of investment fund units, account maintenance, direct mail dispatch) – Service Model Standard (recommended minimum amount – CHF 1 million)				
Assets in CHF	Conservative without equity p. a.	Conservative p.a.	Moderate p.a.	Aggressive p.a.
Up to 5 million	1.00%	1.10%	1.30%	1.40%
5 to 10 million	0.90%	1.00%	1.20%	1.30%
10 to 25 million	0.70%	0.80%	1.00%	1.10%
25 to 50 million	0.60%	0.70%	0.90%	1.00%
More than 50 million	Please ask for a personal quote			
Minimum fee	CHF 10 000	CHF 11 000	CHF 13 000	CHF 14 000

<b>Securities trading</b>				
Transaction value in CHF (per transaction)	Equities, ETFs, warrants, structured products, investment funds and similar securities			Bonds, Eurobonds, notes, structured products in the protection category
	SIX Swiss Exchange	European and international main markets (ADR) <sup>1</sup>	Other markets <sup>2</sup>	
Up to 25 000	1.2%	1.9%	2.1%	0.9%
Up to 50 000	1.1%	1.8%	2.0%	0.8%
Up to 100 000	1.0%	1.7%	1.9%	0.7%
Up to 200 000	0.8%	1.55%	1.75%	0.5%
Up to 400 000	0.6%	1.35%	1.55%	0.4%
Up to 1 000 000	0.4%	0.95%	1.15%	0.3%
From 1 000 001	0.2%	0.75%	0.95%	0.2%
Minimum per order*	CHF 80	CHF 120	CHF 240	CHF 80

\*for all minimum fees (per order) discount is 50% for the transactions entered via e-banking.

Discount in the amount of 25% is granted for the transactions up to CHF 200 000 that are entered via e-banking.

<sup>1</sup> Australia, Austria, Belgium, Canada, Denmark, Finland, France, Germany, Greece, Hong Kong, Ireland, Italy, Japan, Luxembourg, Netherlands, New Zealand, Norway, Portugal, Singapore, South Africa, Spain, Sweden, UK, US

<sup>2</sup> Russia, Philippines

<b>E-banking</b>	
E-banking access	Free of charge
Payment order entered via e-banking	CHF 0.30 – 10.00 per order
<b>Direct Access Client (Equities, FI, FX and Precious Metals)</b>	<b>Fee (CHF)</b>
Equities expertise Single ticket sizes are usually above CHF 100 000	50 000 - 100 000 p.a.
FI expertise Single ticket sizes are usually above CHF 500 000	50 000 p.a.
FX and Precious Metals Single ticket sizes are usually above CHF 500 000 via phone or 250 000 via E-Tools	Total spread at least CHF 20 000 p.a.
<b>Private equity</b>	
One-Time Placement Fee	1% calculated over the commitment amount
Management Fee	0.75% -0.55% per annum over the commitment amount
Minimum investment USD 250'000 or EUR 200'000	

Liability Management		Fee (%) per annum
<b>Loans</b>		
Lombard loans (CHF) for a period up to 1 year*		2 - 2.5 %
Lombard loans (CHF) for a period from 1 year *		2.3 - 3.75 %
* Subject to Market Conditions and Terms		
Life Cycle Management		
Personal Trust (with Underlying Company)		Fee (CHF)
One-time formation fee		min 8 700
Annual base fee, plus		8 900 (paid annually on the date of establishment)
	On assets held with UBS	0.15% p.a.
	On assets held with other banks	0.20% p.a.
	On residential real estate	0.30% p.a.
One-time company liquidation fee		min 700
Other possible services (not included in annual base fee):		
	Change of letter of wishes	min 1 000
	Termination during Settlor's lifetime	min 1 000
	Termination following Settlor's death	min 8 000
Trust Accounting (per hour)		CHF 300

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